

# SIDE MEETING

GROUP #9 INDEPENDENT MONITORING FUNCTION ACTIVITIES –  
NOT LIMITED TO AUDITS

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# IMF ACTIVITIES – NOT LIMITED TO AUDITS

## CONTENTS

- Foreword
- Reminder on the rule
- Industry part: Topic 1 (IMF interaction with SMS)
- Industry part: Topic 2 (Appropriateness of monitoring means depending on the subject)
- Industry part: Topic 3 (Supplier Control)
- Industry part: Topic 4 (Efficient use of DOA performance indicators)



# Foreword

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## Foreword - General

The intention of the side meetings is to give industry representatives the chance to discuss together on subjects of interest.

EASA is taking the role as moderator, responsible for

- opening the meeting,
- starting the round table,
- setting the frame of the meeting (rules, sub-topics to be discussed, etc.)
- time keeping, contribution / intervention (if needed)

The meeting does not aim a question answers sessions between EASA and the industry representatives. EASA, being the organizer and host, makes use of this occasion to understand potential difficulties or industry interpretations/concerns of the discussed topics to take onboard, after EASA internal consultation, follow-up activities.



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## Foreword - Organisational

A presentation is to be made during the Certification Workshop tomorrow!

Volunteer?



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## Foreword - Subject

IMF (former ISM/IMS) staff might show visible agility in an ever more dynamic industrial/societal context (e.g. in context of numerous SC and non-SC developments, and updates in the regulatory and industrial standards/guidelines)

IMF findings, observations, actions registered at EASA in oversight of DOAs are on increase in numbers (e.g. counting L2 expected yearly avg for 2025...2026 on increase by ca. +20% compared to yearly avg of the period 2020...2025)

Perspectives: usage of IMF means besides the core internal audit activities, possible improvers to increased adequacy, effectiveness of DMS: e.g. performance indicators, focused improvements (e.g. 6sigma/Agile), involvement into SMS and risk management tasks, P21 advocacy, etc.



# Reminder on the rule

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## Reminder on the rule

### Requirements (selective extracts)

21.A.239(e) The design organisation shall establish, as part of ..[DMS], an independent monitoring function to

verify compliance of the organisation with the relevant requirements of ..[P21]

as well as the compliance with

and adequacy of ..[DMS]

Monitoring shall include feedback to

the person or the group of persons referred to in point 21.A.245(b) and

to the manager referred to in point 21.A.245(a)

to ensure, where necessary, the implementation of corrective action.

21.A.245(b) ..[HDO] shall nominate ..chief of [IMF]

### 'Soft' rule (selective)

21.A.239(e)/AMC1 ...

(a)(1) ..compliance with ..req's.. properly.. under supervision of mngrs, and compliance with , and the adequacy of.. DMS..

(a)(2) subcontracted activities...

(a)(3) ..objective .. complete.. (audits, inspections, reviews)

(a)(4) the independence of the monitoring activities...

AMC1 21.A.243(a) Handbook

AMC1 21.A.245(b) (d) The independent monitoring function should be independent from the design and airworthiness functions. As such, the chief of the independent monitoring function should not be at the same time one of the other persons that are referred to in point 21.A.245(b)(1) or (b)(3), except for the safety manager... If...

AMC1... (f) Responsibilities...

AMC1 21.A.245(d) .. CIMF competences...

### Industry practices (selective)

Examples...

FAQ-20109/2015: What are the expectations of the Agency in respect to the independent system monitoring of a DOA holder?

J-News articles about IMF

Etc.



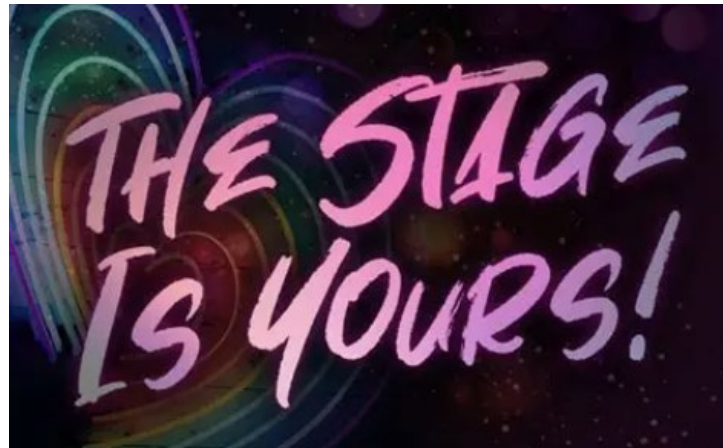
# Topic 1: IMF interaction with SMS

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## Topic 1: IMF interaction with SMS

Ideas to trigger discussions:

- SMS & risk management ⇔ compliance monitoring
- safety assurance, hazard identification, and continuous improvement





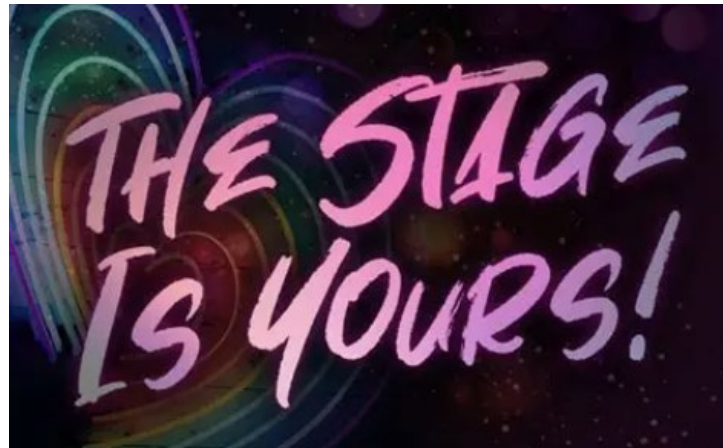
## Topic 2: Appropriateness of monitoring means depending on the subject

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## Topic 2: Appropriateness of monitoring means depending on the subject

Ideas to trigger discussions:

- Use of compliance/performance indicators,
- Possibly relevant subjects of periodical management meetings,
- Focused process/procedure improvements (reviews/AMC1..), negative trend analysis, ...





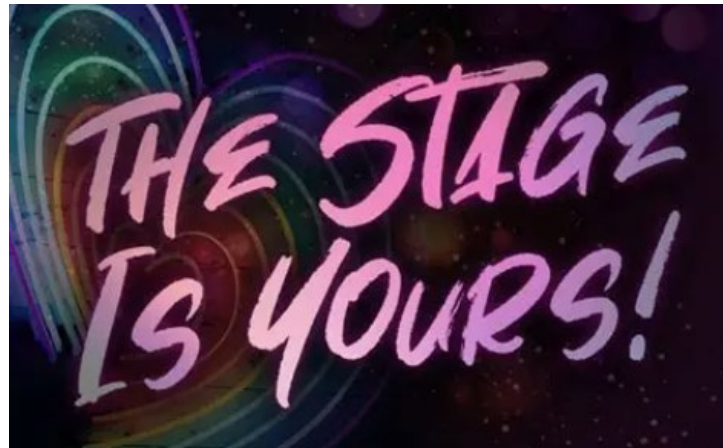
# Topic 3: Supplier Control

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## Topic 3: Supplier Control

Ideas to trigger discussions:

- Type of monitoring means of supplier (work)
- risk-based supplier control proportionate to supplier criticality – SMS integration





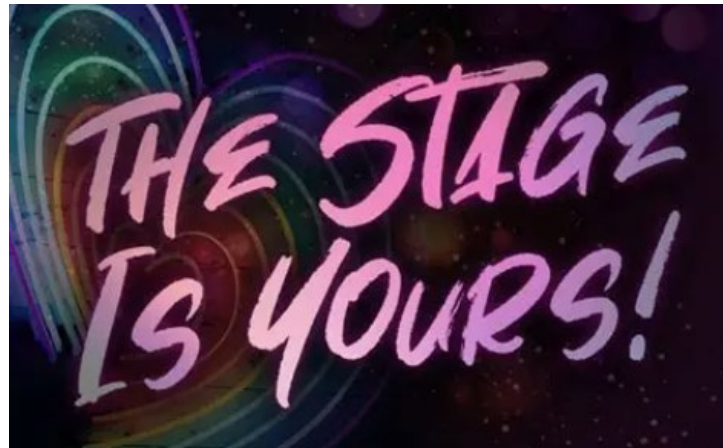
# Topic 4: Efficient use of DOA performance indicators

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## Topic 4: Efficient use of DOA performance indicators

Ideas to trigger discussions:

- Compliance monitoring work as DOA performance indicator
- Safety performance indicators interaction with compliance monitoring indicators
- Integration of ISMS performance indicators into existing set-up





# Thank you for your participation!